FORM01bEN

**Valid as of 2019-12-17**

**Application form for a European Technical Assessment (ETA) referred to in Article 26 of the Regulation (EU) No. 305/2011[[1]](#footnote-1)**

Remark: All references to articles in the text below refer to the UBAtc’s European Technical Assessment Regulation (see [www.ubatc.be](http://www.ubatc.be)).

**Applicant’s administrative details** (usually manufacturer or his authorised representative established in the EEA, Switzerland or Turkey; in the latter case, the application has to be accompanied by the authorisation given by the manufacturer, see Article 4)

The undersigned:

|  |  |  |  |
| --- | --- | --- | --- |
| Surname |       | Last name |       |
| Address  |       |
| Postal code |       | Town/Commune |       |
| E-mail address |       |
| Telephone |       | Fax |       |

 [ ]  On his/her own behalf

 [ ]  On the behalf of company:

|  |  |
| --- | --- |
| Name |       |
| Address  |       |
| Postal code |       | Town/Commune |       |
| E-mail address |       | URL of Internet site  |       |
| Telephone |       | Fax |       |

**Company to be mentioned as ETA-holder** (only if this is different than the applicant)[[2]](#footnote-2) (see Art. 1, definition 2)

|  |  |
| --- | --- |
| Name |       |
| Address  |       |
| Postal code |       | Town/Commune |       |
| E-mail address |       | URL of Internet site  |       |
| Telephone |       | Fax |       |
| Contact person |  |  |  |
| Surname |       | Last name |       |
| E-mail address |       |  |  |

**Type of application**

The applicant requests that the UBAtc asbl, in accordance with the UBAtc’s European Technical Assessment Regulation, examines the admissibility of the application for a European Technical Assessment (ETA) within the context of Regulation 305/2011:

|  |  |
| --- | --- |
| [ ]  | A new European Technical assessment |
|  | [ ]  | Based on the existing European Assessment Document, EAD      , that is considered fully applicable  |
|  | [ ]  | Based on an existing European Assessment Document, EAD      , that requires modification prior to being used to issue an ETA |
|  | [ ]  | Based on an existing Guideline for European technical approval, ETAG      , used as European Assessment Document (EAD) |
|  | [ ]  | For which no European Assessment Document (EAD) has been cited in the OJEU[[3]](#footnote-3) and published by EOTA |
| [ ]  | A European Technical Assessment based on the existing European Technical Approval      /     , issued by UBAtc in the framework of directive 89/106/EEC. Identify significant (technical) changes, if any:       |
|  | [ ]  | For which the European Technical Approval was based on an existing Guideline for European technical approval, ETAG       |
|  | [ ]  | For which the European Technical Approval was not based on an existing Guideline for European technical approval (ETAG) |
| [ ]  | A European Technical Assessment based on the existing European Technical Approval      /     , **not** issued by UBAtc[[4]](#footnote-4) in the framework of directive 89/106/EEC. . Identify significant (technical) changes, if any:       |
|  | [ ]  | For which the European Technical Approval was based on an existing Guideline for European technical approval, ETAG      .  |
|  | [ ]  | For which the European Technical Approval was not based on an existing Guideline for European technical approval (ETAG) |
| [ ]  | A modification of the existing European Technical Assessment, ETA      /      ,  |
|  | [ ]  | issued by UBAtc. Identify significant (technical) changes:       |
|  | [ ]  | **not** issued by UBAtc[[5]](#footnote-5). Identify significant (technical) changes:       |
| [ ]  | A duplicate European Technical Assessment (if the application is not submitted by the holder of the existing European Technical Assessment, **form 04** should be used) |
|  | [ ]  | of the existing European Technical Assessment, ETA      /      , issued by UBAtc |
|  | [ ]  | of a European Technical Assessment under development by UBAtc (trade name of the subject covered by the ETA under development:      ) |
|  | [ ]  | of the existing European Technical Approval, ETA      /      , issued by UBAtc |
| [ ]  | European Technical Approval      /      , issued by UBAtc, converted into a European Technical Assessment, |
|  | [ ]  | For which the European Technical Approval is based on ETA-Guideline      , which may be used as a European Assessment Document |
|  | [ ]  | For which the European Technical Assessment may be based on the already existing European Assessment Document       |
|  | [ ]  | For which a European Assessment Document needs to be developed  |
| [ ]  | The transfer of the existing European Technical Assessment/Approval      /      to a new assessment holder (**form 02** should be used to support this application) |

**Type of the construction product** (if known to the applicant):

|  |  |
| --- | --- |
| [ ]  | EOTA Product area code or title of the EAD/ETAG:       |
| [ ]  | Product area according to Regulation 305/2011, Annex IV:       |
| [ ]  | Generic name for the construction product, proposed by the Applicant:       |

**Trade name(s), composition and intended use(s) of the product(s)**

For the subject(s) with the following trade name(s) and regarding the intended use(s)[[6]](#footnote-6) :

If the subject is a system, specify its name here and its components in the table below.

Trade name(s) of the system(s):      [[7]](#footnote-7)

|  |  |  |
| --- | --- | --- |
| **Subject(s)** | **Trade name(s)** 1 | **Intended use(s)**  |
| *Silicon paste(example)* | *Torax X2(example)* | *Sanitary grouting(example)* |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |

**Justification for the application** (this needs to be completed in all cases, even if the application does not concern a new ETA)

Please indicate (as far as known for the applicant):

|  |  |
| --- | --- |
| [ ]  | The product is not covered by any harmonized standard in the framework of Regulation 305/2011 |
| [ ]  | The product is not fully covered by the harmonized standard EN      . Justification based on the conditions given in Art. 19 (1) of the Regulation (EU) No. 305/2011: |
|  | [ ]  | For at least one essential characteristic of that product, the assessment method provided for in the harmonised standard is not appropriate |
|  | [ ]  | The harmonised standard does not provide for any assessment method in relation to at least one essential characteristic of that product |

**Characteristic(s) and performance(s) to be covered in the European Technical Assessment**

Please indicate below the essential characteristics that should be covered in the European Technical Assessment and the expected performances (if known). If available, applicants should add relevant available test reports to their Manufacturer’s Technical File.

|  |  |  |
| --- | --- | --- |
| **Essential characteristic**(as far as already determined at the time of application) | **Regulated in Country, incl. reference to legislation[[8]](#footnote-8)**(as far as known at the time of application, if not leave blanc) | **Expected performance**(as far as known at the time of application, if not leave blanc) |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
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|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |

**Place(s) of production**

The aforementioned subject(s), for which the application is being introduced is/are manufactured in the production places indicated below.

|  |  |  |
| --- | --- | --- |
| **Trade name of the subject(s)** | **Name of the place(s) of production\*** | **Address(es)** |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
| \* If necessary, a distinction must be made enabling identification of production units or lines present in the place of production |

The applicant declares that he/she/the company mentioned above:

|  |  |
| --- | --- |
| [ ]  | owns the intellectual property rights; |
| [ ]  | produces in the production site(s) referred to above; |
| [ ]  | has the subject of the application manufactured by companies under license, subject to his/her/its control and mentioned by name in the attached list in **annex 1**; |
| [ ]  | limits his/her/its activities to making the subject of the application available on the market (distributor). The application should be supported by a filled in **form 03** in which the company producing the product(s) permits the applicant to submit an application.  |
| [ ]  | limits his/her/its activities to making the subject of the application available on the market following its importation into the European Economic Area (importer). The application should be supported by a filled in **form 03** in which the company producing the product(s) permits the applicant to submit an application. |

**Provisions regarding third parties**

The applicant/company mentioned above:

* Undertakes to require his/her/its manufacturing companies or license holders, as well as any and all companies that he/she/it allows to use the assessment, to respect the conditions regarding production and controls of production and/or installation that are incorporated in the assessment.
* Confirms that a Manufacturer’s Technical File has been submitted to support his/her/its application. When necessary, this file will be supplemented, at the request of the UBAtc rapporteur, with all the information and experimental justification documents in order to make possible the full technical assessment of the manufacturing and installation, and the technical characteristics, performances and properties. Where relevant **forms 05 and/or 07** should be used to ensure that UBAtc may use test (or similar) reports in the framework of the assessment.
* Authorises the laboratories that have carried out the tests, the records of which are attached to the Manufacturer’s Technical File, to provide any additional information to UBAtc about these during the examination.
* Authorises the UBAtc, if necessary, to inform and collaborate with the services of the European Commission, the EOTA secretariat, other designated Technical Assessment Bodies, members of the EOTA, that are interested in the same or similar products, collaborate in the establishment of a relevant European Assessment Document and/or in the framework of EOTA consistency procedures.
* Confirms to support the assessment procedure undertaken by the Assessment operator desifgnated by UBAtc
* Confirms to ensure that the relevant manufacturing facility(ies) may be visited by the UBAtc and the Assessment operator desifgnated by UBAtc, during working hours as part of the assessment procedure. If the production facilities are not owned by the applicant, **form 06** should be used
* Confirms,

[ ]  that no other ETA application has been submitted for this/these same product(s) to another Technical Assessment Body.

[ ]  that an ETA application, submitted to Technical Assessment Body       for this/these product(s), has been withdrawn and that this withdrawal has been confirmed in writing (a copy of this withdrawal is attached to this application in **annex 2**).

[ ]  that an ETA application, submitted to Technical Assessment Body       for this/these product(s), has been rejected by the Technical Assessment Body and that this rejection has been confirmed in writing (a copy of this rejection is attached to this application in **annex 3**).

**Representative of the applicant / company making the application**

The above-mentioned applicant/company designates the following person to represent him/her/it in all matters that are dealt with during the examination of this application

|  |  |  |  |
| --- | --- | --- | --- |
| First name |       | Surname |       |
| Postal address  |       |
| Postal code |       | Town/Commune |       |
| E-mail address |       |
| Telephone |       | Fax |       |

Working language

English is always used as the working language for an ETA.

**Copyrights**

By submitting this application, the applicant unconditionally renounces his/her/its copyrights relating to the contributions that may be provided to the documentation of the UBAtc in general and to the European Assessment Document and European Technical Assessment for which the application has been submitted in particular, and transfers these as well as the exploitation right to the UBAtc asbl.

**Publication of the European Technical Assessment**

In accordance with the UBAtc’s European Technical Assessment Regulation in force, the ETA technical assessment shall always be published, at least in the reference English version on the UBAtc website ([www.butgb.be](http://www.butgb.be) / [www.ubatc.be](http://www.ubatc.be)). This obligation cannot be derogated from under any conditions whatsoever.

The applicant/company confirms that:

|  |  |
| --- | --- |
| [ ]  | The European Technical Assessment shall be issued only in English; |
| [ ]  | The European Technical Assessment issued shall be issued in English, Dutch and French; |

**Financial conditions**

The above-mentioned applicant/company declares his/her/its agreement to the payment of a lump sum for the material costs of the assessment. This amount will be transferred, once the confirmation of the application has been received in the form of the declaration of admissibility, to account and in the name of the assessment operator, mentioning the identification reference attributed to it by the UBAtc.

Invoices are to be sent to:

|  |  |
| --- | --- |
| Name |       |
| Postal address |       |
| Postal code |       | Town/Commune |       |
| E-mail address |       | URL internet site |       |
| Telephone |       | Fax |       |
| Financial data |  |  |  |
| VAT number |       | Trade register |       |
| Bank account number (IBAN Code) |       | BIC / SWIFT Code |       |
| Communication |       |
| Contact person |  |  |  |
| Surname |       | Last name |       |
| E-mail address |       |  |  |

**Treatment of personal data by BBRI as operator for ubatc.be**

The personal data that you provided, will be maintained by the UBAtc operator in a file intended to inform you about the processing of your file and any adjustments with regard to the UBAtc database. In accordance with the General Data Protection Regulation of 27 April 2016, Regulation (EU) 2016/679) you may request a copy of the information, have it corrected and removed by sending an e-mail to gdpr@ubatc.be.

You may find additional information regarding the way in which the BBRI handles your personal data in the BBRI Privacy Policy with regards to the ubatc.be activities, which is available on the ubatc.be website.

**Acknowledgement of the Regulations of the UBAtc asbl**

The above-mentioned applicant/company confirms that he/she/it has received the UBAtc’s European Technical Assessment Regulation.

The applicant is hereby informed about the existence of UBAtc information package regarding European Technical Assessment on the UBatc’s website. The applicant is advised to consult these documents (see [www.ubatc.be](http://www.ubatc.be) / [www.butgb.be](http://www.butgb.be)) before submitting an application.

**Annexes and enclosed forms**

This application is supported by:

|  |  |
| --- | --- |
| [ ]  | The Manufacturer’s Technical File |
|  | [ ]  | The Manufacturer’s Technical File is annexed to this application; |
|  | [ ]  | The Manufacturer’s Technical File has been submitted directly to the following assessment operator      [[9]](#footnote-9)  |
| [ ]  | Annex 1 listing the companies that manufacture the product under license, subject to his/her/its control |
| [ ]  | Annex 2 confirming that an earlier application with another Technical Assessment Body has been withdrawn |
| [ ]  | Annex 3 confirming that an earlier application with another Technical Assessment Body has been rejected by that technical Assessment Body |
| [ ]  | Form 2 confirming the transfer of an existing approval/assessment |
| [ ]  | Form 3 authorising the application by a company that does not itself manufacture the subject(s) of the application |
| [ ]  | Form 4 authorising the application for a duplicate assessment |
| [ ]  | Form 5 authorising the use of (a) test (or similar) report(s) issued to another company |
| [ ]  | Form 6 authorising the carrying out of (an) inspection(s) |
| [ ]  | Form 7 authorising the use of (a) test (or similar) report(s) issued for another product |

Done at      , the

(Signature, preceded by the handwritten statement « read and approved »)

1. Regulation (EU) No 305/2011 of 9 March 2011 laying down harmonised conditions for the marketing of construction products and repealing Council Directive 89/106/EEC [↑](#footnote-ref-1)
2. Part of this information will be published in the assessment text [↑](#footnote-ref-2)
3. Official Journal of the European Union (http://eur-lex.europa.eu/JOIndex.do) [↑](#footnote-ref-3)
4. In this case, the European Technical Approval and the corresponding Evaluation report, established by the body that issued the ETA which needs to be converted into a European Technical Assessment, need to be part of the manufacturer’s Technical File. [↑](#footnote-ref-4)
5. In this case, the European Technical Assessment and the corresponding Evaluation report, established by the Technical Assessment Body that issued the ETA which needs to be modified, need to be part of the manufacturer’s Technical File. [↑](#footnote-ref-5)
6. Use 1 line for each product with one intended use and mention all trade names for which the application is being introduced (in the case of a demand for assessment duplicates). [↑](#footnote-ref-6)
7. Voor building systems mentioning the trade name of the building system suffices. Referencing all components used in the system is not necessary. [↑](#footnote-ref-7)
8. Not necessary in case an existing EAD or ETA-Guideline is used, unless the applicant wishes to add characteristics not yet included in the EAD or ETA-Guideline. [↑](#footnote-ref-8)
9. Only possible in the case of extension or modification [↑](#footnote-ref-9)